RHEOLOGICAL PROPERTIES OF HUMAN SALIVA

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Summary—From measurements with a Couette-type viscometer provided with a guard ring it was shown
that at the saliva–air interface a protein layer is adsorbed. Measurements of the surface shear modulus
of this layer on saliva of 7 healthy subjects were performed at a frequency of about 70 Hz and a
temperature of 25°C. For a surface age of about 1.5 h the surface shear modulus and the surface viscosity
were in the order of 1 Nm−1 and 10−1 Nm−1 s, respectively. From ellipsometric measurements it was found
that the thickness of the protein layer was approx. 100 nm and, using this value, it could be concluded
that the shear modulus and the dynamic viscosity were in the order of 102 Pa and 104 Pa s, respectively.
The layer appeared to be fragile. Even shear deformation amplitudes of 4 × 10−3 are too high to assure
linearity. The complex viscosity (η = η′ − iη″) of the bulk liquid of human submandibular saliva below
the absorbed layer was measured in the frequency range 70 Hz–200 kHz with 3 torsional resonators, each
for a different frequency, and a Ni-tube resonator. It was concluded, that the real part of the complex
viscosity (η′) decreases from 1.1 mPa s at 70 Hz to a value of 0.95 mPa s at high frequencies. Except at
the lowest frequency (70 Hz), the value of η″ was too small to be detected.

INTRODUCTION

Saliva serves diverse functions in the human mouth. Among these, lubrication is very important, facili-
tating the movements of the tongue and the lips during chewing and swallowing and being essential
for clearly articulated speech. The efficacy of saliva as a lubricant will depend on its viscosity and how this
changes with shear rate. The shear rate can obtain high values, e.g. 160 and 60 s−1, during speaking and
swallowing, respectively (Balmer and Hirsch, 1978). The layer appeared to be fragile. Even shear deformation amplitudes of 4 × 10−3 are too high to assure linearity. The complex viscosity (η = η′ − iη″) of the bulk liquid of human submandibular saliva below

The apparatus measures the torque required to keep the bob in a fixed position when the cup is rotating with a certain angular velocity. The guard ring, which is connected to the main frame, can be moved in a vertical direction. In the upper position the guard ring does not touch the sample and the torque measured is originated from the surface layer and the bulk liquid below this layer. In the lower position the torque originated from the surface layer is eliminated, because the ring-shaped surface between the bob and the guard ring is now at rest.

Torsional resonators for measurement of surface shear modulus. These measurements were performed with a modified version of a 70 Hz torsional resonator, first developed in our laboratory (Blom and Mellema, 1984). A simplified diagram of the torsional resonator is given in Fig. 2. The (nearly) biconical bob is machined from non-magnetic steel and pro-

MATERIALS AND METHODS

Salivas

Saliva was collected from 7 healthy males (ages 23–48 yr) between 09.00 and 11.00 h. In collection of unstimulated whole saliva the subjects were seated comfortably in an area with minimal external distractions, instructed to sit quietly and to minimize all movements. Stimulated submandibular and parotid saliva was collected using a Lashley cup (Lashley, 1916) and a modified Schneyer’s appliance (Schneyer, 1955). Stimulation was by application of 2 per cent citric acid to the tongue with a cotton-tip applicator. Salivary flow rate was estimated by recording the approximate volume collected during a 10 min period. Values were within the range 300–1000 μl/min, which is typical (Mandel and Wotman, 1976).

After a saliva sample had been collected it was studied immediately at a controlled temperature of

25°C. Within the biological range variations the measurements showed common trends. In this ac-
count for each kind of measurement an example is selected that shows the typical characteristics of the saliva samples investigated.

Apparatus

Steady-state measurements. These were performed with a Contraves Low Shear 30 viscometer provided with a home-made guard ring. A schematic representation of the measuring unit is shown in Fig. 1. The apparatus measures the torque required to keep the bob in a fixed position when the cup is rotating with a certain angular velocity. The guard ring, which is connected to the main frame, can be moved in a vertical direction. In the upper position the guard ring does not touch the sample and the torque measured is originated from the surface layer and the bulk liquid below this layer. In the lower position the torque originated from the surface layer is eliminated, because the ring-shaped surface between the bob and the guard ring is now at rest.

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vided with a cylindrical magnet, which has been glued into it. The purpose of the Teflon ring, inserted in the sample holder, is to ensure a flat surface during surface shear measurements. In contrast with the original apparatus the momentum of inertia of this bob is made as small as possible to enhance the sensitivity of the apparatus for surface shear measurements.

Torsional vibrations are excited and detected with coils positioned just outside the sample holder. The output of the detecting coil is proportional with \( \theta \), \( \theta \) being the angular displacement of the bob. Temperature control is established by water circulated from a thermostat through the double-walled, glass sample holder. Filling and emptying of the sample holder is performed using dry nitrogen gas.

Measurements consisted of the determination of the resonance curves of the resonator under different loading conditions as described. From each curve the bandwidth \( (\Delta \omega; \text{defined as the difference between the frequencies for which } \theta \text{ equals } 1/2 \sqrt{2} \text{ times its maximum value}) \) and the resonance frequency \( (f_0) \) were obtained. The sample holder of the torsional resonator could be closed, thus assuring a minimum of evaporation.

**Bulk measurements with torsional resonators.** Three torsional resonators were applied in the frequency range 70 Hz–2.5 kHz. At the lowest frequency (70 Hz) the modified resonator was applied. At the frequencies \( \sim 720 \text{ Hz and } \sim 2,500 \text{ Hz} \) the resonators (numbers 3 & 4 of Blom and Mellema 1984) were applied because smaller samples could be used. The sample holders could be closed to avoid evaporation.

**Bulk measurements with the Ni-tube resonator.** In the frequency range 3.7–200 kHz, measurements were performed with a Ni-tube resonator (Waterman et al., 1979; Oosterbroek et al., 1980). The resonator (Fig. 3) is composed of a Ni tube (outer dia 4 mm, wall thickness 0.1 mm), which is mounted on 4 stainless-steel wires (dia 0.1 mm). The wires, provided with small knots, are led through 4 holes (dia 0.15 mm) drilled in the tube. The holes are drilled at one sixth of the length of the tube from both ends. Before mounting, the tube is circumferentially magnetized by passing 3 electrical current pulses (1 ms, 500 A) through an insulated copper wire placed inside the Ni tube. The tube is surrounded by a glass tube containing the sample, which was thermostated at 25°C. By applying a harmonic current through the transmitting coil, positioned in the middle of the tube, the tube is forced into torsional vibrations because of its magnetostrictive properties (Wiedemann effect). The motion of the tube is monitored in a second coil (inverse Wiedemann effect), positioned near the attachment of the upper pair of suspension wires. Besides the fundamental frequency, for which the length of the tube equals one half of a wavelength of the torsional waves, the tube shows many frequencies (overtones) for which resonance occurs. The overtones, for which the nodal planes coincide with the planes of the holes drilled in the tube, were used for measurements. The fundamental frequency was also used, although the requirement mentioned is not obeyed in this case. In practice it is found that the deviations of these measurements are relatively small. The sample holder could be closed to avoid evaporation.

**Ellipsometer.** This was used to obtain information on both the surface concentration and the thickness of the protein layer adsorbed at the air–saliva interface. It was equipped with a laser light source (\( \lambda = 632.8 \text{ nm} \)). The ellipsometric arrangement has been described elsewhere (De Feyter, Benjamins and Veer, 1978). A schematic view is given in Fig. 4. The linearly polarized monochromatic laser beam is con-

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Fig. 1. Schematic representation of the measuring unit of the Contraves Low Shear 30. (A) Guard ring in the lower position. (B) Guard ring in the upper position. 1—Guard ring; 2—surface layer; 3—bob; 4—cyl.

Fig. 2. Simplified diagram of the torsional resonator. 1—Sample holder, inside circular, outside square; 2—resonator mass; 3—magnet; 4—torsional spring; 5—teflon ring.
Fig. 3. Ni-tube resonator. 1—Glass tube; 2—Ni-tube; 3—spring; 4—suspension wire; 5—knot; 6—measuring coil; 7—excitation coil.

Fig. 4. Schematic drawing of the ellipsometer. 1—Laser; 2—quarter wave plate; 3—polarizer; 4—quarter wave plate; 5—analyser; 6—photomultiplier; 7—sample.

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verted into a circularly polarized beam by a quarter wave plate, the fast axis of which is positioned at an angle of \( \pi/4 \) radians with the polarization plane of the laser beam. After passing through the polarizer, the beam is again linearly polarized, the polarization plane depending on the polarizer setting \( (P) \). Subsequently it is converted into an elliptically polarized beam by the second quarter wave plate. In the arrangement used the second quarter wave plate was positioned at an angle of \( \pi/4 \) radians with the plane of incidence. After reflection by the surface the reflected beam passes through an analyser and reaches a photomultiplier tube. Measurements involved adjustment of the polarizer in such a way that the elliptically polarized beam reaching the surface was reflected as a linearly polarized beam due to the optical properties of the reflecting system. If this was the case, adjustment of the analyser should result in an extinction of the reflected beam. The measured quantities were the extinction settings \( (P) \) and \( (A) \) of polarizer and analyser, respectively. In general there are more polarizer and analyser settings, falling in different curves, which produce extinction of the reflected beam. From the polarizer setting \( (P) \) and the analyzer setting \( (A) \) the ellipsometric parameters \( (\psi) \) and \( (\Delta) \) can be found. These parameters are defined as follows: the phase difference between the light components perpendicular and parallel to the plane of incidence is \( \Delta \) and \( \tan \psi \) is the ratio of the reflection coefficients of the perpendicular and parallel components.

In the arrangements described the following relationships hold

\[
\psi = A \tag{1}
\]
\[
\Delta = (2P + \pi/2) \tag{2}
\]

From \( \psi \) and \( \Delta \) the thickness \( h \) and the index of refraction \( (n) \) of the layer can be calculated (Hansen, 1968).

**Procedures**

**Steady-state measurements.** To show unambiguously the presence of a surface layer, the following procedure was used. The sample holder was filled with the guard ring in the lower position. After 20 min a measurement was performed with a constant angular velocity of the cup of \( 1.39 \times 10^{-3} \) rad s\(^{-1}\). Subsequently the motor was stopped and the guard ring adjusted to its upper position. Again after a 20 min interval a measurement with the same angular velocity was performed. A 20 min wait time was applied as it takes some time for the surface layer to form and mature.

**Measurements of the surface shear modulus.** To study the rheological properties of the surface layer the sample holder of the torsional resonator was filled so that the sample just touched the bob (Fig. 5A). The harmonic oscillations of the resonator caused an outgoing cylindrical shear wave to propagate in the surface layer. This wave will be reflected at the sample holder into an ingoing cylindrical wave, which on its turn is reflected at the resonator, etc, leading to a standing wave pattern.

The bandwidth \( (HB) \) and the resonance frequency \( (f_0) \) of the resonance curve measured were compared with the corresponding reference data \( (HB_{\infty}) \) and \( (f_{0\infty}) \) of the unloaded (in vacuum) resonator. In practice the reference data were obtained for the resonator in air and a small correction must be applied. The changes of the bandwidths \( (\Delta HB = HB - HB_{\infty}) \) and of the resonance fre-
Fig. 5. Filling conditions of the torsional resonator. (A) Measurements of the surface layer. (B) Measurements of the bulk liquid.

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\[ \Delta H B = 2K R_{pl} + 2C \eta' \]  

(10)

\[ f_{sc} \approx f' \approx K R_{pl} - C \eta" \]  

(11)

K and C are apparatus constants, \( \eta' \) and \( \eta" \) are the real and imaginary part of the complex viscosity \( \eta \). The dynamic viscosity is \( \eta' \), while \( \eta" \) is connected to the shear modulus \( G \)

\[ \eta" = \frac{q_H}{\omega} \]  

Further we have

\[ \eta' = \frac{2R_{pl}\chi_{pl}}{2\pi f_0 \rho_0} \]  

(13)

\[ \eta" = \frac{K R_{pl} - \chi_{pl}}{2\pi f_0 \rho_0} \]  

(14)

where \( \rho_0 \) is the density of the sample.

From (10), (11), (13) and (14) it is found

\[ Z_{pl}^2 = \frac{2\pi f_0 \rho_0 K}{C} Z_{pl} \frac{2h}{K} (\Delta H B + 1i\Delta f) = 0. \]  

(15)

The apparatus constants K and C are found by calibration of the instrument with Newtonian liquids with known viscosity and density.

The \( k_0 \) then follows from:

\[ Z_{pl} = \rho_0 c_0 \]  

(16)

and

\[ k_0 = \omega/c_0 \]  

(17)

where \( c_0 \) is the complex velocity of the shear waves. The \( \rho_0 \) is measured with a PAAR DMA 40 digital density meter.

**Correction for the bulk liquid.** In performing surface layer measurements part of the restoring torque exerted on the resonator will be due to the bulk liquid and a corresponding correction had to be applied. A first-order correction could be calculated from the results of the bulk liquid measurements. The existence of the surface layer, however, will affect the flow field in the bulk liquid and consequently the restoring torque. From the knowledge of the flow field (Waterman, 1984) it was found that this effect was negligible with respect to the first-order correction, which was applied throughout.

**Ellipsometric measurements.** Usually a measurement consists of two steps: determination of \( \psi_0 \) and \( \Delta_0 \) for the clean solvent, which delivers the optical constants of the substrate, and determination of \( \psi_s \) and \( \Delta_s \) of the solution in the presence of the surface layer. In our case a clean solvent was not available so that \( \psi_0 \) and \( \Delta_0 \) could not be measured directly. To overcome this difficulty a small amount of the bulk liquid was collected with a syringe. The refractive index of this material was determined with an Abbe refractometer, from which \( \psi_0 \) and \( \Delta_0 \) could be calculated. In a sample holder 20 ml saliva was collected. Length, width and depth of this holder were 60, 60 and 6 mm, respectively. The extinction settings of both the polarizer and analyser were measured by their respective orientations at equal intensities on each side of the transmission minimum. From these settings \( \psi_s \) and \( \Delta_s \) were calculated from equations (1) and (2). In most cases \( \psi_s \) and \( \Delta_s \) were measured in two zones (McCrackin et al., 1963; Archer and Shank, 1967). Except in the first 10 min during which they changed very rapidly, \( \psi_s \) and \( \Delta_s \) could be measured to within 0.005° and 0.02°, respectively. From the differences (\( \Delta \psi = \psi_s - \psi_0 \)) and (\( \Delta \Delta = \Delta_s - \Delta_0 \)) the average values of the refractive index and the thickness of the adsorbed layer could be obtained, provided that the refractive index is a linear function of the solute concentration in the layer (De Feyter et al., 1978). The refractive index, \( \Delta n/dc \), for several proteins is given elsewhere (Sober, 1968; De Feyter et al., 1978) and appears to be much the same, viz. 0.18 cm³ g⁻¹. This value was used in this investigation.

**RESULTS**

**Steady-state measurements**

Figure 6 shows these: the arrows pointing downwards denote the moments at which the motor was switched on, the arrows pointing upwards when the motor was stopped. The lower curve shows the results with the guard ring in its lower position, the upper curve gives them with the guard ring in its upper position. For clarity the curves are shifted with respect to each other by an offset voltage. From the lower curve it is seen that at the chosen angular velocity no measurable signal could be detected. With the guard ring in its upper position, however, a well-defined signal was found. The dip in the curve has no physical meaning. It shows that the surface layer is very fragile and that a small vibration, as was the case here, is sufficient to damage this layer a little. These results definitely show the presence of a surface layer. From this measurement a rough estimate of the apparent shear viscosity of the surface layer could be obtained.

![Fig. 6. The measured torque as a function of time obtained with the Contraves low shear 30. Curve 1: with guard ring in operation. Curve 2: with guard ring not in operation.](image-url)
made. The asymptotic value of the measured torque is in the order of $1 \times 10^{-4}$ Nm. This torque completely originates from the shearing of the surface layer. From this value the shear stress ($\tau_{R_s}$) at the shaft of the bob and the shear rate ($\dot{\gamma}_{R_s}$) at the shaft of the bob were calculated according to the following equations for wide gaps (Chmiel and Walitza, 1980)

$$\tau_{R_s} = \frac{M}{2\pi h R_s^2} \left\{ \frac{1 - \beta^3}{3(1 - \beta)} \right\}^{1/2}$$

$$\dot{\gamma}_{R_s} = \frac{\eta_s}{\pi} \left\{ \frac{1 - \beta^3}{3(1 - \beta)^2} \right\}^{1/2}$$

where $\beta = (R_i/R_s)^2$ and $h$ is the thickness of the surface layer. From equations (18) and (19) and $h = 100$ nm the apparent viscosity of the surface layer in this non-linear experiment was estimated from $\eta_s = \tau_{R_s}/\dot{\gamma}_{R_s}$ to be about $10^6$ Pa s. Here $R_s$ equals the radius of the shaft of the bob and $R_i$ the outer radius of the surface layer.

Surface shear modulus and surface shear viscosity

In Fig. 7 the surface shear modulus ($\mu_s'$) and the surface shear viscosity ($\eta_s$) of submandibular saliva are shown as a function of the surface age. This figure indicates a rapid growth during the first 10–15 min for both $\mu_s'$ and $\eta_s$ after which these quantities gradually increase. As is common in these measurements the zero value of the time axis is somewhat uncertain.

It was not possible to perform measurements in the regime of linear viscoelasticity. [Roughly speaking, in this regime, doubling the input signal results in a doubling of the output signal, letting the complex (surface) shear modulus unimpaired]. In Fig. 8 the values of $\mu_s'$ and $\eta_s$ are shown as a function of the shear deformation for a surface layer with an age of 80 min. The smallest shear deformation applied, viz. $4 \times 10^{-5}$, corresponds to an angular amplitude of the bob of $10^{-3}$ rad. Decreasing this amplitude resulted in signal to noise ratios too bad to produce accurate data.

(Complex) viscosity of the bulk liquid

In Fig. 9 the real and imaginary parts of the complex viscosity ($\eta - \eta'$ - $\eta''$) are given. The real part ($\eta'$) of the complex viscosity decreases from 1.1 mPa s at 70 Hz to approx. 0.95 mPa s at high frequencies. The path of $\eta''$ is similar. For $\eta''$ a bell-shaped curve was expected with a maximum at a certain transition frequency and decreasing to small values on both sides of the maximum. This behaviour is to be expected because the measurements were linear ones and the mentioned behaviour is deduced from a general theory of linear viscoelastic behaviour (Flügge, 1975). For comparison, the viscosity of water at the same temperature equals 0.89 mPa s.
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Fig. 9. The real part ($\eta'$) and the imaginary part ($\eta''$) of the complex viscosity ($\eta = \eta' - i\eta''$) of the bulk liquid versus frequency.

Ellipsometric measurements

In Fig. 10 the surface concentration ($\Gamma$) is plotted as a function of the age of the surface layer. The surface concentration was high as compared with the generally found surface concentration of aqueous protein solutions. In the same figure the thickness ($h$) of the layer is plotted. Here, the values were also much higher than generally found for aqueous protein solutions. In both curves the measuring points at $t = 10$ min deviate strongly from a smooth curve through the measuring points. In the curves these points were omitted. Their paths strongly resemble the $\mu - t$ curves. From the refractive indices of the bulk liquid and water measured with the same refractometer the protein concentration was found to be $(4 \pm 1) \, \text{mg} \, \text{ml}^{-1}$.

At the same time the surface tension was measured with a Wilhelmy plate. After an initial rapid decrease during the first minutes, the value of the surface tension levelled off until a value of $46 \, \text{mN} \, \text{m}^{-1}$ and finally even increased. The reason for this aberration appeared to be the freezing-in of the Wilhelmy plate in the surface layer together with the drying out of the bulk liquid below the layer. After a small vertical movement of the Wilhelmy plate the original value ($49 \, \text{mN} \, \text{m}^{-1}$) reduced to $40 \, \text{mN} \, \text{m}^{-1}$.

DISCUSSION

The existence of an adsorbed layer at the liquid-air interface of aqueous protein solutions has been known for about half a century, Joly (1939) being one of the first investigators to study its mechanical properties. To the best of our knowledge, however, the presence of such a layer in saliva, and especially its pronounced viscoelastic properties, have not been mentioned in the literature. Measurements of the surface shear modulus of the adsorbed layer at the air interface of aqueous solutions of natural proteins, viz. sodium caseinate and bovine serum albumin at a frequency of about $3 \times 10^{-2}$ Hz, have been reported (De Feyter and Benjamins, 1979). The values of $\mu'$ and $\mu''$ of these materials are about $10^{-3} \, \text{Nm} \, \text{s}^{-1}$; the thicknesses ($d$) of the layers are about 4 nm. For the bulk shear moduli ($G = \mu' / d$) of these layers values of about $10^4 \, \text{Pa}$ were found. Combining the results of our surface shear measurements and our ellipsometric measurements, the bulk shear modulus of the salivary surface layer was found to be about $10^4 \, \text{Pa}$ and the bulk viscosity of the layer about $10^4 \, \text{Pa} \, \text{s}$. The big difference between the surface shear moduli of the natural proteins mentioned and that of saliva therefore is largely due to differences in the thicknesses of these layers. Surface dilatational moduli of the same magnitude as we found for the surface shear moduli are reported for fairly insoluble monolayers after collapse (Veer and van den Tempel, 1973). The collapse of the monolayer, as formed in a Langmuir trough, is caused by decreasing its area about 25 per cent. After collapse, gel-like or mesomorphic particles resembling liquid crystals are present in the surface. The elasticity of surfaces containing such multilayers is much higher than the...
elasticity of monolayers, and also the viscosity is very high (Veer and van den Tempel, 1973). The large thicknesses of the layers found in our experiments suggest the existence of a multilayer.

The finding of a highly elastic surface layer at the saliva-air interface questions the interpretation of saliva measurements made with various rheological instruments. For example, during measurements with a Weissenberg Rheogoniometer (Davis, 1971; Briedis, Moutrie and Balmer, 1980; Schwarz, 1987), the torque detected is partly due to the surface layer at the saliva-air interface. It is recommended that in future work the influence of a surface layer on saliva is considered in any interpretation.

Comparison of the viscosity of saliva above 70 Hz (without the influence of the surface layer) with values found in the literature is hampered in three respects: firstly, as mentioned, the influence of the surface layer on previous values is unknown; secondly it appears that the linearity of the harmonic measurements was not always checked; and thirdly the dynamic viscosity was only reported at much lower frequencies (typically 10^-2-10 Hz). However, the theoretically expected (Flügge, 1975) trend, namely that with increasing frequency \( \eta \) decreases and \( G \) increases to limit values, is consistent with the previously observed behaviour at low frequencies and with our measurements above 70 Hz. Preliminary measurements in our laboratory between 0.03 and 3 Hz, excluding surface layer influence and checking the linearity, confirm this trend.

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