Selective Functionalization of Resorcinarene Cavitands; Single Crystal 
X-ray Structure of a Distally Functionalized Cavitant

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Abstract: A new method for the selective functionalization of resorcinarene cavitands is described 
starting from tetrakis(bromomethyl)cavitands. Copyright © 1996 Elsevier Science Ltd

Resorcinarene cavitands are well known macrocyclic compounds that have an enforced cavity which is 
formed by the rigid positioning of four aromatic units.¹ In contrast to the related calix[4]arenes,² cavitands 
have hardly been used for the design of receptors³ by e.g. positioning of (different) functional groups on the 
concave surface. This may be due to the fact that only a limited number of functional groups can directly be 
introduced at the aromatic rings¹ and only a few incidental examples are known of selective functionalization 
involving laborious multistep procedures.⁴

In this communication we report our preliminary results on a new synthesis of selectively functionalized 
cavitands⁵ starting from the easily accessible tetrakis(bromomethyl)cavitands.⁶ This approach is based on the 
partial substitution of the bromine atom(s) for phthalimido groups. Due to the influence of the number of 
phthalimido moieties on the polarity, the different compounds can easily be separated using column 
chromatography. Subsequent functionalization of the unreacted bromomethyl groups creates the possibility to 
synthesize cavitands which contain, in addition to one or more (protected) amino groups, other functional 
groups.

Methylcycloctol 1 was synthesized in 90% yield by reaction of 2-methylresorcinol and 1-hexanal following 
general literature procedures.⁷ Subsequent reaction of octol 1 with CH₂BrCl in DMF gave methylcavitand 2 
in 96% yield. In our first attempts to synthesize tetrakis(bromomethyl)cavitand 3 we used the conditions 
reported by Sorrell and Pigge⁸ for the bromination of corresponding methylcavitands. However, reaction of 
methylcavitand 2 with 4.1 equiv of NBS in CCl₄ catalyzed with benzoyl peroxide, did not result in the 
formation of the desired product 3.⁹ Upon changing the catalyst to AIBN, tetrakis(bromomethyl)cavitand 3 
could be obtained in 87% yield after simple recrystallization of the crude reaction mixture from ethanol. The 
structure of 3 followed from ¹H NMR down-field shifts of the outer bridge protons from 5.87 to 6.02 ppm, 
and the aromatic protons from 6.97 to 7.13 ppm, due to the introduction of the bromine atoms. Reaction of 
3 with 6 equiv of potassium phthalimide in the presence of 0.4 equiv of tributyloxycarbonylphosphonium 
bromide as phase-transfer catalyst in refluxing toluene for 4 hours, gave tetrakis(phthalimidomethyl)cavitand 
4 in 74% yield after column chromatography. The ¹H NMR spectrum of 4 shows the signal for the cavitant 
aromatic protons at 7.05 ppm and a doublet for the outer bridge protons at 5.76 ppm.
When methylcavitand 3 was reacted with less than 4 equiv of potassium phthalimide, a mixture of products was obtained. Depending on the reaction conditions, besides tetrasubstituted derivative 4, four partially functionalized products were formed: the monophthalimido- (5), the distal (or 1,3-bis)phthalimido- (6), the proximal (or 1,2-bis)phthalimido- (7), and the tris(phthalimidomethyl)cavitand 8. All products, could easily be separated using standard column chromatography (SiO2 60; gradient hexanes/EtOAc 90/10 to 60/40).\(^5\)

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\begin{array}{c}
\text{Scheme 1}
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\]

Compound 6 is the first example of a directly synthesized distally substituted cavitand, without the loss of functionality on the two remaining positions. Cavitand 6 can be differentiated clearly from the proximally substituted cavitand 7 by the signals for the bridge protons in the \(^1\)H NMR spectra. Due to the high degree of symmetry in 6 only one doublet is present for the (outer) bridge protons at 6.08 ppm, while the spectrum of 7, induced by the loss of symmetry, shows three doublets (ratio 1:1:2) at 5.99, 5.93, and 5.91 ppm, respectively. The structure of the distally substituted cavitand 6 was unambiguously proven by a single crystal X-ray structure (Figure 1).\(^{10,11}\) In the solid state, the cavity of the cavitand is filled by the CH\(_2\)-group of one alkyl chain of a neighboring molecule, while the solvent molecules from the recrystallization (CH\(_2\)Cl\(_2\) and CH\(_3\)CN) occupy the space between the molecules.

When the reaction is carried out in toluene the product distribution depends statistically on the number of equivalents of potassium phthalimide added (Table 1; entries 1 and 2). This indicates that in toluene the reaction rates of the subsequent introduction of phthalimido groups are of the same order. In DMF (entry 3) the introduction of the second phthalimido group is slower, as can be concluded from the low yield of the disubstituted compounds 6 and 7 related to the mono- and trisubstituted cavitands 5 and 8, respectively. The relative distribution of 6 and 7 of 1:2 is in agreement with the statistical ratio, which was also found for the reaction in toluene. In acetonitrile (entries 4 and 5) the relative distribution of 6 and 7 is 3:1, which is a complete inversion of the distribution, compared to the statistically expected ratio. This can be explained by the lower solubility, inducing partial precipitation, of 6 compared to 7, causing mainly 7 to be reacted further to the trisubstituted product 8.

In general, the yields of the partially substituted products can be influenced by (i) the solvent and, (ii) the number of potassium phthalimide equivalents. In toluene the yield of mono- and trisubstituted...
cavitands 5 and 8 is controlled by the number of potassium phthalimide equivalents added, while the solvent determines the ratio of the yields of compounds 6 and 7.

![Figure 1. Single Crystal X-ray Structure of Cavitand 6.](image)

The partially substituted cavitands 5-8 have many possible applications due to their suitability for further functionalization. This is illustrated by the synthesis of four cavitands with a second functional group besides the phthalimido moiety. The bromine atoms in 5-8 can easily be substituted (reaction of 5 with KCN in DMF gave 9 in 49% yield, reaction of 6 with NaOMe gave 10 in 21%, and reaction of 7 with NaOAc gave 11 in 53% yield), or they can just be removed (reaction of 8 in DMF with NaBH₄ at 0°C or NaCNBH₃ at 70°C afforded 12 in essentially quantitative yield). The phthalimido groups are readily removed to release the amine moieties, e.g. reaction of 12 with 30 equiv of hydrazine in refluxing ethanol/THF gave the amino derivative 13 in 89% yield.

<table>
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<th>Yield [%]</th>
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* All reactions were performed at reflux temperature, except in DMF (70°C); a The catalyst was used in 0.1 equiv to the potassium phthalimide; b Isolated yield; n.l.: Product not isolated.

In conclusion a relatively simple route has been developed to selectively functionalized cavitands. The major advantage of this approach is the possibility to synthesize the different partially functionalized cavitands in reasonable yields, which can be influenced by varying the reaction conditions.
References and Notes


5. All new compounds were characterized by $^1$H and $^1^3$C NMR, MS-FAB, melting point and gave satisfactory elemental analyses. Selected melting points: 9, 157-159°C; 10, 148-150°C; 11, 164-166°C; 12, 155-157°C; 13, 139-141°C. Selected $^1$H NMR data of 13: δ 6.98 (s, 3H, CH$_2$ArH), 6.88 (s, 1H, -CH$_2$ArH), 5.84, 5.81 (2d, 4H, outer -OCH$_2$O-), 4.68 (t, 4H, ArCHA), 4.27 (d, 4H, inner -OCH$_2$O-), 3.57, 3.52 (2s, 4x2H, CH$_2$NH$_2$), 1.89 (s, 3H, CH$_2$).


8. The $^1$H NMR spectrum of the reaction mixture showed multiple signals for the protons in the methyleneoxy bridges, indicating the presence of partially brominated products. Prolonging the reaction time or using up to eight equiv of NBS did not improve the outcome of the reaction. Isolation of the tetra brominated product from the product mixture with column chromatography remained unsuccessful.

9. The partially functionalized products 5-8 show a decreased stability when stored on silica, in solution and/or contacted with air for a prolonged time, probably due to the loss of bromine. When stored shielded from light at -18°C no decomposition occurred.

10. X-ray crystallographic analysis of 6: colorless prism, C$_2$H$_4$B$_7$N$_3$O$_7$.xCH$_3$CN, F.W. = 1321.2, monoclinic, space group P2$_1$/n, a = 14.180(3), b = 30.67(1), c = 15.537(1) Å, β = 97.10(1)°, V = 6705(4) Å$^3$, Z = 4, D$_{calc}$ = 1.39 g/cm$^3$, T = 130 K. Data were collected on an Enraf-Nonius CAD4 diffractometer in the ω-2θ scan mode (2.5° < θ < 55°), using graphite monochromated Cu K$_\alpha$ radiation, (λ = 1.5418 Å). From the 8964 independent reflections measured, 6311 (I$_{>}$ 3σ(I)) were used in the refinements. The crystal was rather unstable during the measurements, due to evaporation of solvent molecules (CH$_3$CN), resulting in an increase of mosaicity. The structure was solved by direct methods using SIR92 and refined by full-matrix least-squares (829 variables). In the structure disorder was found in some of the pentane chains, affecting bond angles and distances. Furthermore, a number of peaks was found in a different Fourier synthesis, which were attributed to disordered solvent atoms and refined as carbon atoms with occupancy factors of 0.5. The resulting R-factors were R = 14.85, R$_p$ = 19.9%. Thermal parameters were refined anisotropically, with the exception of those belonging to solvent atoms and a number of atoms in the disordered part of the pentane chains. H-atoms were not used in the calculations. Tables with atomic coordinates, bond lengths and angles have been deposited at the Cambridge Crystallographic Data Centre.


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